# RECEIVED **CENTRAL FAX CENTER**

JUN 2 2 2005

06-22-2005 11;51;29 a.m.

1 /6

#### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of: Applicant(s):

Michaela Arndt, et al.

Application No.:

10/049,404

Filing Date:

5 August 2002

International Filing Date:

2 August 2000 (International Patent Application No. PCT/DE00/02589)

**Priority Date** Claimed:

6 August 1999 (German Patent Application No. 199 37 264.0)

Title:

F<sub>V</sub> ANTIBODY CONSTRUCT

COMPRISING BINDING SITES FOR A CD16 RECEPTOR AND A CD30

SURFACE PROTEIN

Atty. Docket No.: 4121-135

Conf. No.:

1053

Examiner:

Unassigned

Group Art Unit:

1645

**Customer No.:** 

23448

## **FACSIMILE TRANSMISSION CERTIFICATE**

## Fax No.: (703) 746-9195

I hereby certify that this document is being filed in the United States Patent and Trademark Office, via facsimile transmission to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on June 22, 2005, to United States Patent and Trademark Office facsimile transmission number:

Number of pages sent:

June 22, 2005

anna Joslyn

Date

REQUEST FOR CORRECTED FILING RECEIPT IN U.S. PATENT APPLICATION NO. 10/049,404

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Sir:

A review of the Filing Receipt in the above-identified patent application, as received in the undersigned attorney's office, has revealed the presence of an error in the spelling of assignors named Sergey Kypriyanov and Jürgen Krauss. The appropriate spellings are as follows: Sergey Kypriyanov and Jürgen Krauss.

The error has been annotated on the accompanying copy of the Filing Receipt.

A further review of the subject Filling Receipt has revealed the presence of an error in omission of the names of the attorneys who are appointed to prosecute the subject application and to transact all business in the U.S. Patent and Trademark Office. The correct Power of Attorney appointment is as follows:

## STEVEN J. HULTQUIST, REG. NO. 28,021 MARIANNE FUIERER, REG. NO. 39,983

Further attached is a copy of the Declaration and Power of Attorney document as previously submitted to the U.S. Patent and Trademark Office on July 29, 2002. Additionally, the error has been annotated on the accompanying copy of the Filing Receipt.

Request hereby is made for issue of a Corrected Filing Receipt for the subject U.S. patent application.

Respectfully submitted,

Marianne Fuierer Reg. No. 39,983

Attorney for Applicants

INTELLECTUAL PROPERTY/ TECHNOLOGY LAW Phone: (919) 419-9350 Fax: (919) 419-9354 Attorney File No.: 4121-135



10/049,404

9194199354

#### United States Patent and Trademark Office

FIL FEE REC'D

510

ART UNIT

1645

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1430 Alexandra, Versita 22113-1450

WHW.BIPIO.80V			
ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS

**CONFIRMATION NO. 1053** 

Steven J Hultquist Intellectual Property Technology Law PO Box 14329 Research Triangle Park, NC 27709

FILING OR 371

(c) DATE

08/05/2002

175 to 166 416 **)** 175 to 166 416 **)** 

4121-135

FILING RECEIPT
\*OC000000016161995\*

Date Mailed: 06/02/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Michaela Arndt, Frederick, MD;
Melvyn Little, Neckargemund, GERMANY;
Sergey Kyprivanov, Heidelberg, GERMANY;
JURGEN KRAUSS
Michael Pfreundschuh, Homburg/Saar, GERMANY;

Power of Attorney:

Marianne Fuierer 39983

STEVEN J. HULTQUIST, REG. NO. 28,021 MARIANNE FUIERER, REG. NO. 39,983

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/DE00/02589 08/02/2000

Foreign Applications

GERMANY 199 37 264.0 08/06/1999

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Fv antibody construct comprising binding sites for a cd16 receptor and a cd30 surface protein

**Preliminary Class** 

435

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).